Docket No. 217311US2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

Noriyuki KAWANO

SERIAL NO:

NEW APPLICATION

GAU:

FILED:

Herewith

EXAMINER:

FOR:

OBJECTIVE LENS DRIVE APPARATUS FOR USE IN OPTICAL PICKUP

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

ASSISTANT COMMISSIONER FOR PATENTS WASHINGTON, D.C. 20231

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- A check is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application.

 A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- A check is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- □ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Noriyuki KAWANO

SERIAL NO.: New Application

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FOR: OBJECTIVE LENS DRIVE APPARATUS FOR USE IN OPTICAL PICKUP

STATEMENT OF RELEVANCY

Reference AO (9-231595) on Form PTO-1449:

This reference is discussed on page 1 of the specification.

Form PTO 1449 (Modified)		U.S. DEPARTMENT OF PATENT AND TRADEN	COMMERCE	ATTY DOCKET NO.			SERIAL NO.		
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LIST OF REFERENCES CITÉD BY APPLICANT				APPLICANT Noriyuki KAWANO					
				FILING DATE			GROUP		
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U.S. PATENT DOCUMENTS									
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Examiner						Date Considered			
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.									